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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :

RICHARD A. DIXON, ET AL.

Serial No.: 09/936,190


International  
Application No. PCT/US00/05912International  
Filing Date: March 8, 2000

Group: Unassigned

For: GENETIC MANIPULATION OF ISOFLAVONOIDS

Assistant Commissioner  
For PatentsBox PCT  
Washington, D.C. 20231

EXPRESS MAIL NO. EL794555561US DATE OF DEPOSIT 09 November 2001  
I hereby certify that this correspondence is being deposited with the United States Postal  
Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date  
indicated above and is addressed to the Assistant Commissioner for Patents, Box PCT,  
Washington, D.C. 20231 on 09 November 2001  
(Date of Deposit)

Derrick T. Gordon  
Name of Depositor

Signature

01/17/2002 RECEIVED 00000037 101100 09/25 Date of Signature: 09 November 2001

01 FC:565 90.00 CH

Dear Sir:

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER  
35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE**

In response to the Notification of Missing Requirements under 35 U.S.C. 371, the  
following documents are enclosed for filing in the above-identified patent application:

1. Declaration and Power of Attorney of Inventor Richard A. Dixon and  
Christopher L. Steele;
2. A copy of the Notification of Missing Requirements under 35 U.S.C. 371.

Applicants acknowledge that an error in total claim count was made and that \$90  
in additional claims fee are required. Please deduct the necessary fee from the Sidley Austin  
Brown & Wood deposit account 18-1260.

Applicants further enclose the following for filing in the above-identified patent application:

1. Assignment of Richard A Dixon and Christopher L. Steele to The Samuel Roberts Noble Foundation, Inc. and the corresponding assignment cover sheet;
2. Verified Statement Claiming Small Entity Status for The Samuel Roberts Noble Foundation, Inc.

Please deduct the necessary \$40.00 assignment recordal fee from the Sidley Austin Brown & Wood deposit account #18-1260.

Respectfully submitted,

By: Karen L. Knezek  
Karen L. Knezek  
Registration No. 39,253

KLK:ld

November 9, 2001

SIDLEY AUSTIN BROWN & WOOD

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#2

<small>Continuation For Priority, from PCT, United States Patent and Trademark Office, Washington, D.C. 20231, www.uspto.gov</small>		
U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.

09/936,190

Richard A. Dixon

11137/05006

INTERNATIONAL APPLICATION NO.

PCT/US00/05912

I.A. FILING DATE

PRIORITY DATE

03/08/2000

03/08/1999

Eugenia S Hasen  
 Sidley Austin Brown & Wood  
 717 N Harwood Suite 3400  
 Dallas, TX 75201

CONFIRMATION NO. 2623

371 FORMALITIES LETTER



\*OC000000006962247\*

Date Mailed: 10/30/2001

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Small Entity Statement

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the

provisions of 37 CFR 1.136(a).

Additionally the following defects have been observed:

- Additional claim fees of **\$90** as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$90** for a Small Entity:

- Total additional claim fee(s) for this application is **\$90**
  - **\$90** for **82** total claims over 20.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

VONDA M WALLACE

Telephone: (703) 305-3736

**PART 2 - OFFICE COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/936,190	PCT/US00/05912	11137/05006